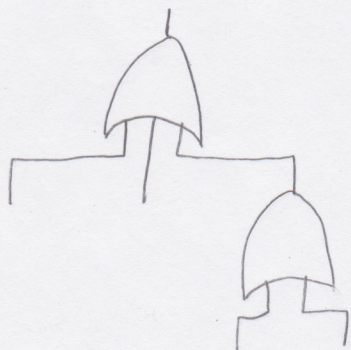


What is Alternation?

- Alternation is a generalization of nondeterminism.
- To understand alternation, we must first look at nondeterminism in detail.

Nondeterminism

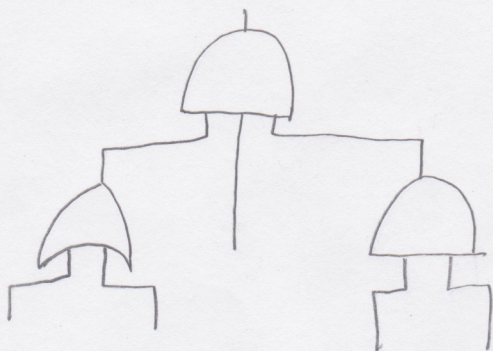
- When using a nondeterministic algorithm, each time the possibilities branch out, the algorithm is asking if there exists any branch of the algorithm.
- Because nondeterminism asks the question if there exists any branch that resolves to true, each internal node of the tree can use the existential quantifier and ask if \exists any children that resolve to true.
- To make it easier to understand, each node, in a nondeterministic tree could be thought of as an OR gate!



- If any children are true, the parent will be as well.

Alternation

- Alternation expands on nondeterminism's capabilities by being able to ask not only if \exists a child that resolves to true, but also if all children resolve to true.
- The question if all children are resolved to true can be symbolized using the universal quantifier, \forall .
- Expanding on the logic gate metaphor for nondeterminism, each node in alternation can act like either an OR gate or an AND gate:



Alternating Turing Machine

- An alternating Turing machine is a nondeterministic Turing machine with an extra feature.
- All states other than q_{accept} and q_{reject} are divided into 2 types:
 - Universal States: All children of that state must accept for the state to accept. Quantifier: \forall
 - Existential States: There must exist one child of the state that accepts in order for the state to accept. Quantifier: \exists
- The input to an alternating TM accepts if its start node accepts.

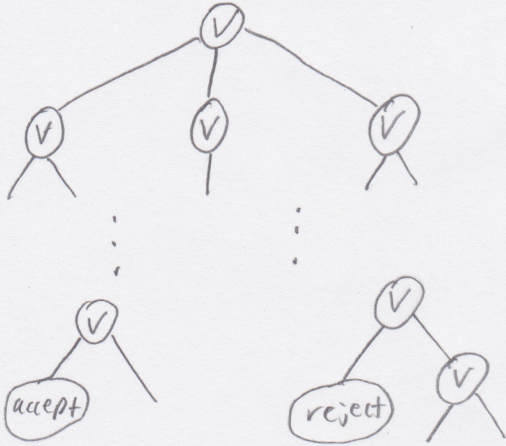
Representing alternating computation trees:

- In a computation tree, each child of a node is in one possible state after the parent state:

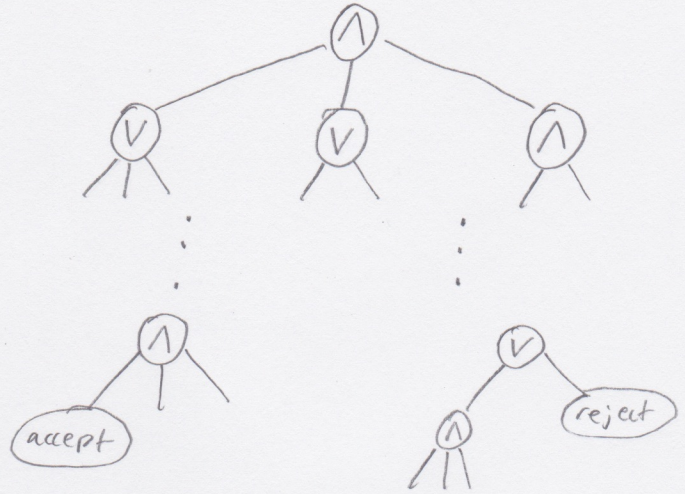
\wedge : Universal state

\vee : Existential state

Nondeterministic Tree

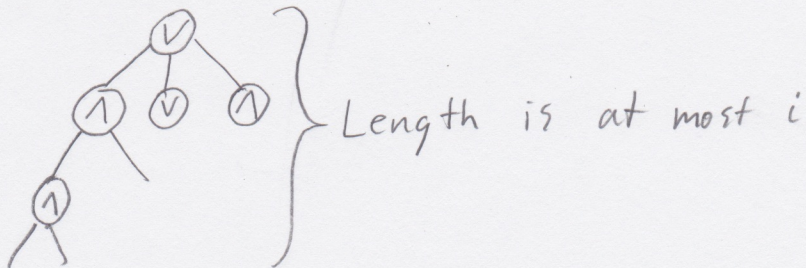


Alternating Tree



2 Types of alternating Turing machines

- for these definitions, i is a natural number
- An Σ_i -alternating TM starts with an existential step, and contains at most i runs of universal or existential steps on every input and computation branch.



- A Π_i -alternating TM differs only in the fact that it starts with a universal step instead of an existential one.

Example: The Tautology Problem

- A tautology is a boolean formula that evaluates to 1, no matter what assignment of variables is used.

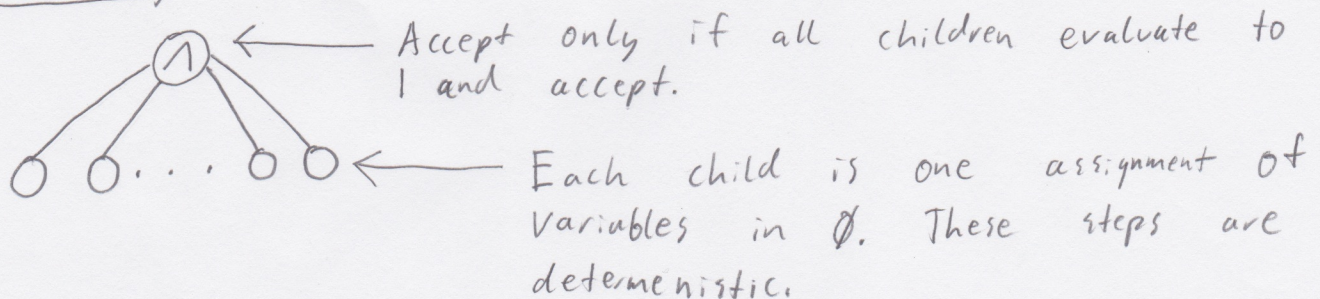
Algorithm:

$TAUT = \{ \langle \phi \rangle \mid \phi \text{ is a tautology} \}$

On an input, ϕ :

1. Using a universal state, select all possible assignments for the variables in ϕ .
2. Evaluate ϕ for a particular assignment of variables
3. If ϕ evaluates to 1, accept. Otherwise reject.

Graphically



Another example - The MIN-FORMULA Problem

- This problem is not known to be in NP, but it is in ANP
- MIN-FORMULA states that for a boolean formula, ϕ , there exists no other boolean formula that has the same variables and is equivalent and shorter.

Algorithm:

On input, ϕ :

1. Using a universal state, select all formulas, ψ , shorter than ϕ
2. Using an existential state, select an assignment of variables for ϕ .
3. Evaluate ϕ and ψ on the assignment.
4. Accept if the formulas evaluate to different values.
Reject otherwise.

Graphically

